

SRA Commencement and Revocation Rules 2019

Rules made by the SRA Board on 6 March 2019

Made under sections 2, 13, 28, 31, 32, 33A, 34, 37, 44C and 44D of, and, paragraph 6, 6A & 6B of Schedule 1 to, the Solicitors Act 1974, section 9 and 9A of, and paragraphs 14A, 14B, 32 and 34 of Schedule 2 to, the Administration of Justice Act 1985, section 89 of, and paragraphs 2 and 3 of Schedule 14 to, the Courts and Legal Services Act 1990, section 332 of the Financial Services and Markets Act 2000, section 83 of, and Schedule 11 and Schedule 14 to, the Legal Services Act 2007, the Legal Services Act 2007 (The Law Society and the Council of Licensed Conveyancers) (Modification of Functions) Order 2011, and section 57(2) and (8) of the Legal Aid, Sentencing and Punishment of Offenders Act 2012.

Commencement of regulatory arrangements

1. The instruments set out in the table below come into force on 25 November 2019.

Instrument
SRA Principles
SRA Code of Conduct for Solicitors, RELs and RFLs
SRA Code of Conduct for Firms
SRA Accounts Rules
SRA Application, Notice, Review and Appeal Rules

SRA Assessment of Character and Suitability Rules

SRA Authorisation of Firms Rules

SRA Authorisation of Individuals (Amendment) Regulations

SRA Authorisation of Individuals Regulations - regulations 3A.1 to 11.7 (inclusive)

SRA Education, Training and Assessment Provider Regulations

SRA Financial Services (Conduct of Business) Rules

SRA Financial Services (Scope) Rules

SRA Overseas and Cross-border Practice Rules

SRA Regulatory and Disciplinary Procedure Rules

SRA Statutory Trust Rules

SRA Roll, Registers and Publication Regulations

SRA Transparency Rules - the parts of rules 1.1, 2.1 and 3.1 that have not yet been commenced and rule 4

Revocation of regulatory arrangements

2. The instruments in the table below are revoked on 25 November 2019.

Instrument
SRA Principles 2011
SRA Code of Conduct 2011
SRA Accounts Rules 2011
SRA Practice Framework Rules 2011
SRA Authorisation Rules 2011
SRA Practising Regulations 2011
Solicitors Keeping of the Roll Regulations 2011

SRA Admission Regulations 2011

SRA Qualified Lawyers Transfer Scheme Regulations 2011

SRA Higher Rights of Audience Regulations 2011

SRA Quality Assurance Scheme for Advocates (Crime) Regulations 2013

SRA Suitability Test 2011

SRA Intervention Powers (Statutory Trusts) Rules 2011

SRA Disciplinary Procedure Rules 2011

SRA Cost of Investigations Regulations 2011

SRA Overseas Rules 2013

SRA Property Selling Rules 2011

SRA Financial Services (Scope) Rules 2001

SRA Financial Services (Conduct of Business) Rules 2001
SRA European Cross-border Practice Rules 2011

Revocation of regulatory arrangements with some savings provisions

2. The instrument in Column 1 of the table below is revoked on the day specified in Column 2, subject to the savings provisions specified in Column 3.

SRA Training Regulations 2014 - Qualification and Provider Regulations	25 November 2019	Regulations 8.1 and 8.2 of the Education, Training and Assessment Provider Regulations
SRA Handbook Glossary 2012	25 November 2019	Any definitions in the SRA Glossary 2012 which appear as defined terms in the SRA Indemnity (Enactment) Rules 2012 or the SRA Indemnity Rules 2012 remain in force for the purposes of those rules

SRA Education, Training and Assessment Provider Regulations	31 December in the year of the eleventh anniversary of the date determined in accordance with regulation 11.1 of the SRA Authorisation of Individuals Regulations	
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Commencement of these rules

4. These rules come into force on 6 March 2019.